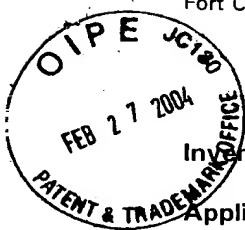


HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P. O. Box 272400  
Fort Collins, Colorado 80527-2400

2152  
PATENT APPLICATION #2  
3-5-04

ATTORNEY DOCKET NO. 10005190 -1



IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Fernando Pedone

Confirmation No.: 9896

Application No.: 09/839664

Examiner:

Filing Date: Apr 19, 2001

Group Art Unit: 2152

Title: E-Ticket Validation Protocol

RECEIVED

MAR 02 2004

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Technology Center 2000

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ( ) under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- (X) under 37 CFR 1.97 (c) together with either a:  
(X) Statement under 37 CFR 1.97(e), or  
( ) a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ( ) under 37 CFR 1.97 (d) together with a:  
( ) Statement under 37 CFR 1.97(e)(1) or (2), and  
( ) a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$0.00 . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

( ) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

- (X) I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450. Date of Deposit: 02/24/2004

OR

- ( ) I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number \_\_\_\_\_ on \_\_\_\_\_  
Number of pages: \_\_\_\_\_

Typed Name: Ann Jesus

Signature:

Respectfully submitted,

Fernando Pedone

By

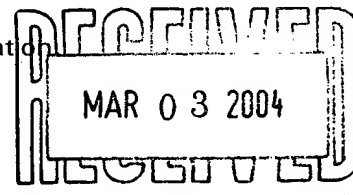
Lloyd E. Dakin, Jr.

Attorney/Agent for Applicant(s)

Reg. No. 38,423

Date: 02/24/2004

Telephone No.: 650 857 2295



HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P. O. Box 272400  
Fort Collins, Colorado 80527-2400

PATENT APPLICATION

ATTORNEY DOCKET NO. 10005190 -1



IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Fernando Pedone

Confirmation No.: 9896

Application No.: 09/839664

Examiner:

Filing Date: Apr 19, 2001

Group Art Unit: 2152

Title: E-Ticket Validation Protocol

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

RECEIVED

MAR 02 2004

Technology Center 2600

STATEMENT UNDER 37 CFR 1.97(e)

Sir:

The undersigned hereby certifies that either:

- (X) Each item of information contained in the Information Disclosure Statement was cited for the first time in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- ( ) No item of information contained in the Information Disclosure Statement
- was cited in a communication from a foreign patent office in a counterpart foreign application, and
  - to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,  
Fernando Pedone

By   
Lloyd E. Dakin, Jr.

Attorney/Agent for Applicant(s)  
Reg. No. 38,423

Date: 02/24/2004

Telephone No.: 650 857 2295

FORM PTO-1449

ATTY. DOCKET NO.

10005190-1

APPLICATION NO.

09/839,664

CONFIRMATION NO.

9896

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

APPLICANT

Fernando Pedone

FILING DATE

04/19/2001

GROUP

2152



## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	US5,781,910	07/14/1998	Gostanian et al	
	1B				
	1C				
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

RECEIVED

MAR 02 2004

Technology Center 2100

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L	EPO758114	02/12/1997	NTT Data Communicati		
	1M					
	1N					
	1O					
	1P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	Fernando Pedone - "A Two-Phase Highly-Available Protocol for Online Validation of E-Tickets" - HPL Technical Report #HPL-2000-116 - September 12, 2000 - pages 1-18
	1R	Internet Archive Wayback Machine Search - Search Results January 1, 1006 - November 3, 2003
	1S	Internet Archive Wayback Machine Search - "A Two-Phase Highly-Available Protocol for Online Validation E-Tickets" Abstract from HPL Technical Reports #HPL-2000-116 - November 3, 2003

EXAMINER

DATE CONSIDERED

FORM PTO-1449

ATTY. DOCKET NO.

APPLICATION NO.

CONFIRMATION NO.

10005190-1

09/839,664

APPLICANT

Fernando Pedone

FILING DATE

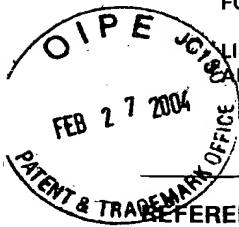
04/19/2001

GROUP

2152

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)



## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	2A				
	2B				
	2C				
	2D				
	2E				
	2F				
	2G				
	2H				
	2I				
	2J				
	2K				

RECEIVED

MAR 02 2004

Technology Center 2000

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	2L					
	2M					
	2N					
	2O					
	2P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

2Q	Wesley W Chu et al - "The Exclusive-Writer Approach to Updating Replicated Files in Distributed Processing Systems" -IEEE Transactions on Computers vol C-34 no 6 June 1, 1985 pages 489-500
2R	Perry R James et al - "Development of an Atomic-Broadcast Protocol Using LOTOS" - Software Practice and Experience - John Wiley & Sons Ltd. vol 29 no 8 July 10, 1999 pages 699-719
2S	Kazuo Matsuyama - "Distributed Digital-Ticket Management for Rights Trading System" Proceedings ACM Conference on Electronic Commerce - 1999 - pages 110-118

EXAMINER

DATE CONSIDERED